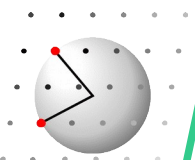




## tutorial session II

### diffraction by simulated crystal structures





The diffraction pattern is calculated as:

$$F(\vec{h}) = \sum_{j=1}^{N_{crystal}} f_j(|\vec{h}|) e^{2\pi i \vec{h} \vec{r}_j}$$

1D, 2D, 3D in reciprocal space

DISCUS

**fourier** menu

powder diffraction

DISCUS

**powder** menu

pair distribution function, pdf

DISCUS

**pdf** menu (Simulation III)

inverse Fourier transform

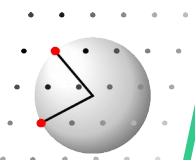
DISCUS

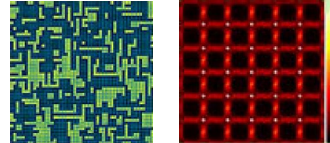
**inverse** menu

Patterson function

DISCUS

**patterson** menu





# Diffraction by simulated crystal structures



```
fourier
  neutron
  ll -2.5, 0.00, 0.00
  lr 2.5, 0.00, 0.00
  ul -2.5, 0.00, 0.00
  na 1001
  no 1
  abs h
  ord k
  temp ignore
  disp off
  show
  run
exit
#
output
  outf 1d.inte
  value intensity
  run
exit
```

## Switch to fourier menu

calculate neutron diffraction pattern or xray or electron

Lower Left corner in reciprocal space

Lower Right corner

Upper Left corner

number of grid points along abscissa

number of grid points along ordinate

write H coordinate as abscissa

write K coordinate as abscissa

ignore thermal parameters

switch anomalous dispersion off

show settings

run the calculation

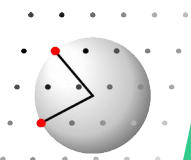
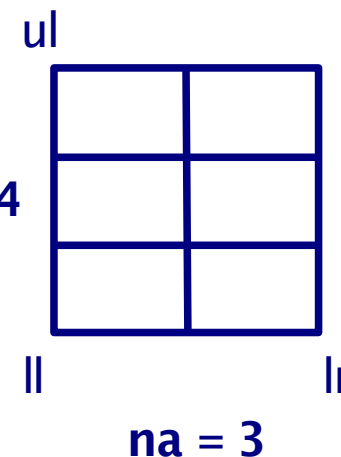
no = 4

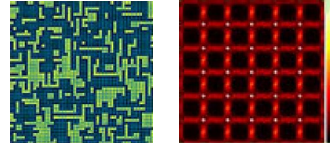
## switch to the output menu

write output to file „1d.inte“

write intensities

run the actual write process





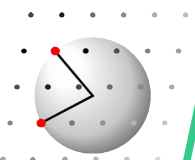
## Exercise 1

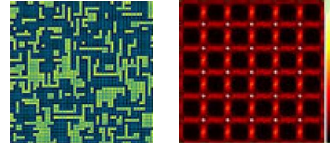


start discus\_suite navigate to Lectures\02.Calculation\_Scattering

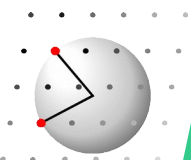
run macro 1d.mac with different numbers as input parameter:

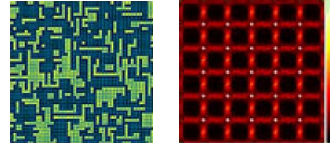
suite> **@1d.mac 5 ! try different numbers**





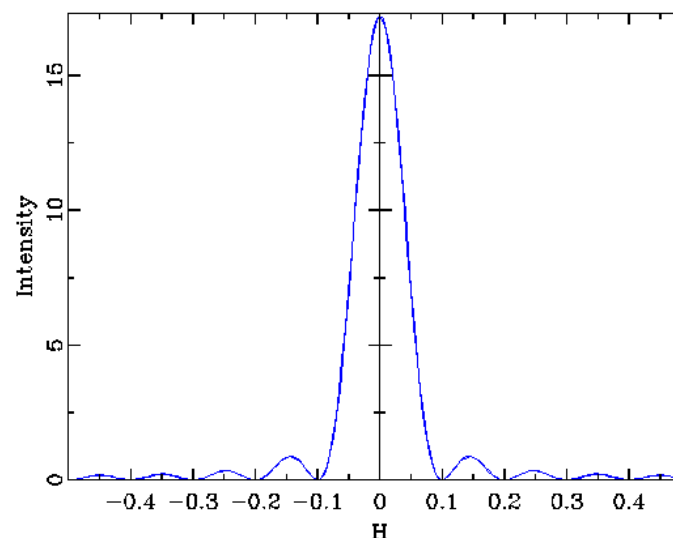
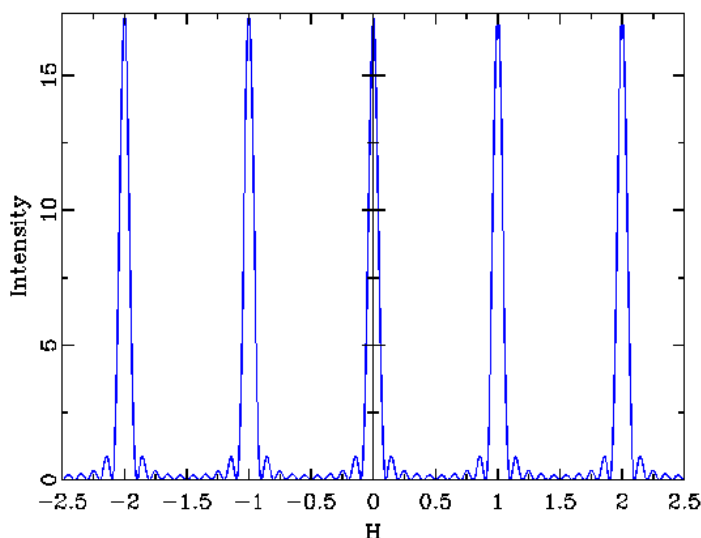
# Exercise 1





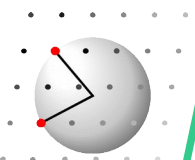
chain of 10 Si atoms

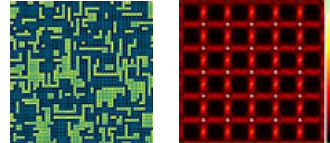
Calculated neutron diffraction pattern (intensity)



periodic reflections spaced at  $1/(\text{Si-Si})$     detail of a reflection

zero points at  $1/10$  reciprocal  
lattice constants





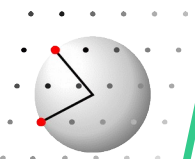
```
fourier
  neutron
  ll -2.5, 0.00, 0.00
  lr  2.5, 0.00, 0.00
  ul -2.5, 0.00, 0.00
  na 1001
  no  1
  abs  h
  ord  k
  temp ignore
  disp off
  show
  run
exit
#
output
  outf 1d.inte
  value intensity
  run
exit
```

## Switch to fourier menu

**calculate neutron diffraction pattern**  
**Lower Left corner in reciprocal space**  
**Lower Right corner**  
**Upper Left corner**  
**number of grid points along abscissa**  
**number of grid points along ordinate**  
**write H coordinate as abscissa**  
**write K coordinate as abscissa**  
**ignore thermal parameters**  
**switch anomalous dispersion off**  
**show current settings**  
**run the calculation**

## switch to the output menu

**write output to file „1d.inte“**  
**write intensities**  
**run the actual write process**

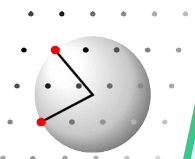


make sure you have discus\_suite started

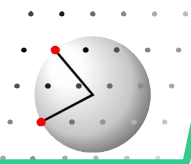
change to directory Lectures/02.Calculation\_Scattering

run macro 1d\_shifted.mac with different numbers as input parameter:

suite> @1d\_shifted.mac 5







## Exercise 2

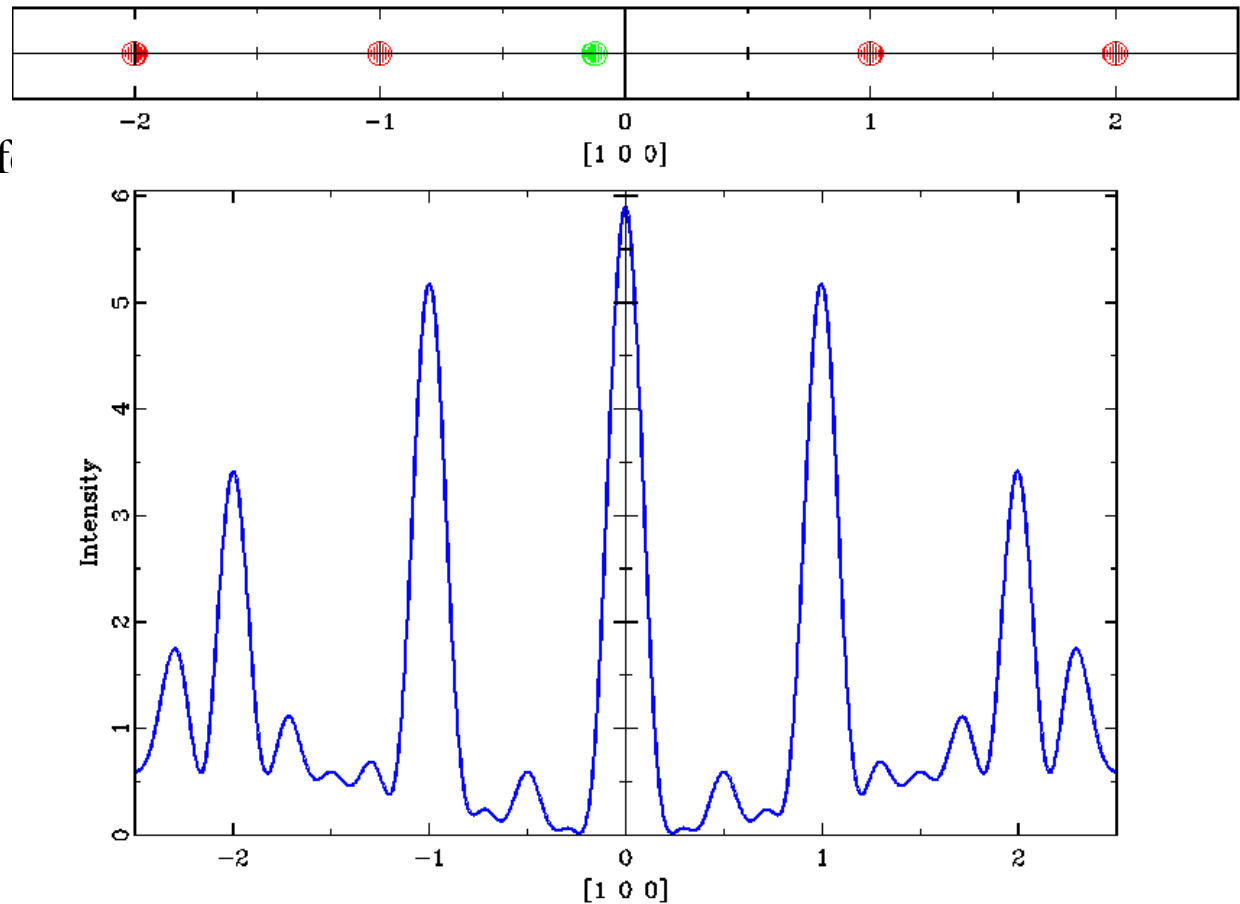
make sure you have started DISCUS\_SUITE

change to directory Lectures\02.Calculation\_Scattering

run macro 1d\_periodic.mac with diff

suite> @1d\_shifted 5

**What are finite size effects and  
what is diffuse scattering ???**





## Exercise 3

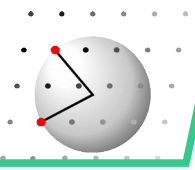
---

modify macro 1d\_shifted to take two input parameters

the second parameter shall be the position of the copper atom

run the macro with different positions; different number of atoms

suite> **@1d\_shifted.mac 5, 0.123**

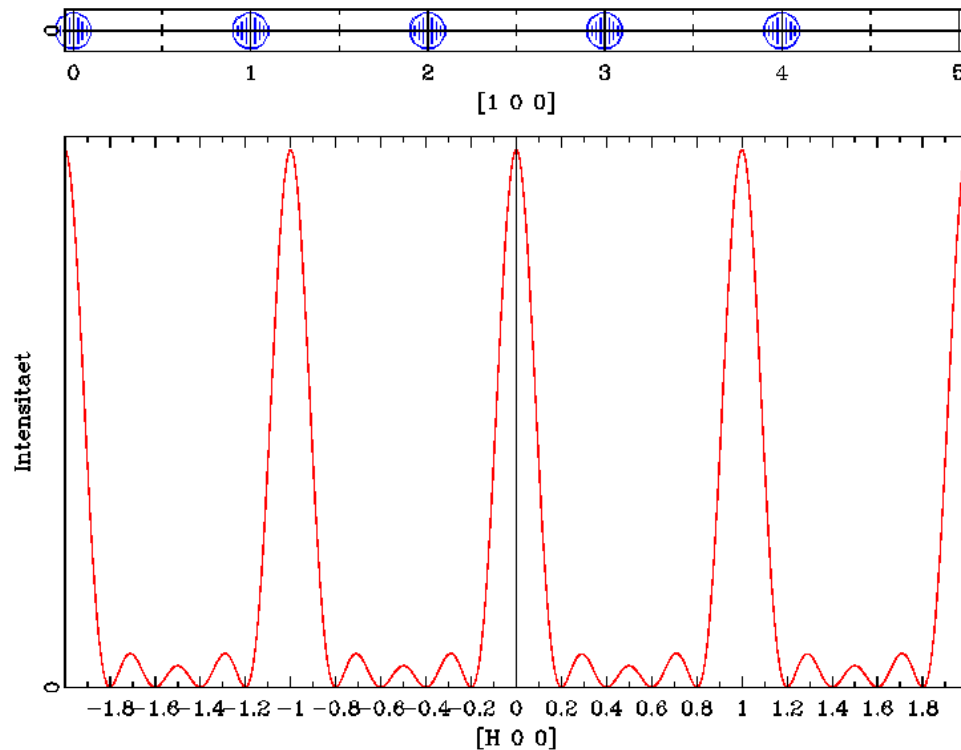


How to avoid finite size effects

solution A: **periodic boundary conditions**

crystal of  $M=5$  unit cells

→ zero points at  $h = j/5$



# Diffraction by simulated crystal structures

how to avoid finite size effects

solution A: **periodic boundary conditions**

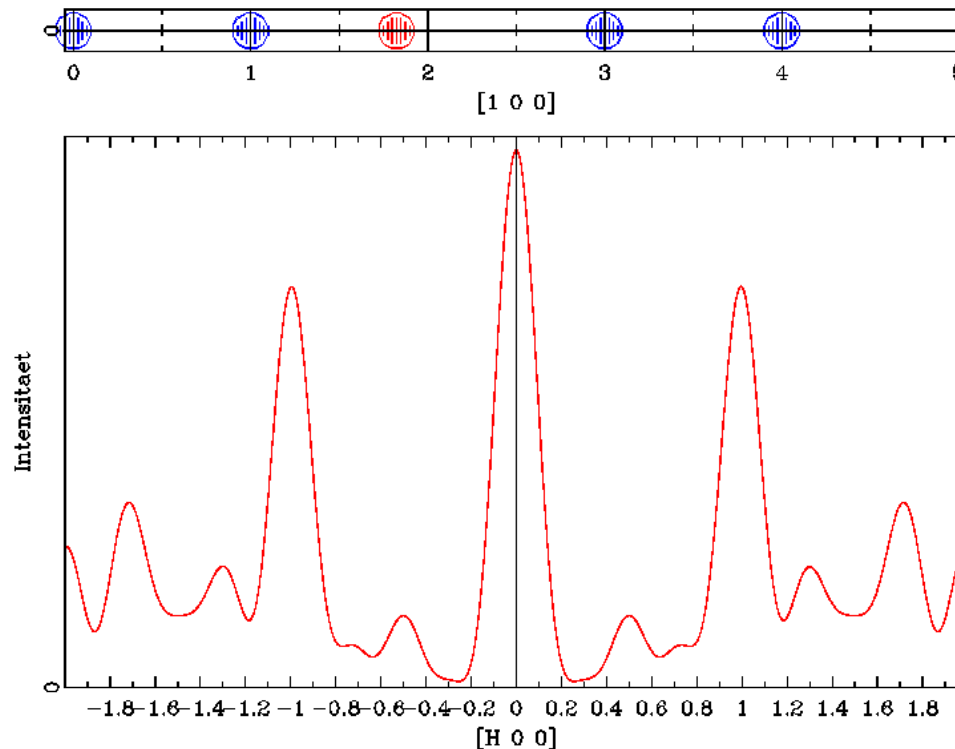
crystal of 5 unit cells with disorder



zero points of the finite size effects at

$$h = j/5$$

additional diffuse scattering



# Diffraction by simulated crystal structures

how to avoid finite size effects

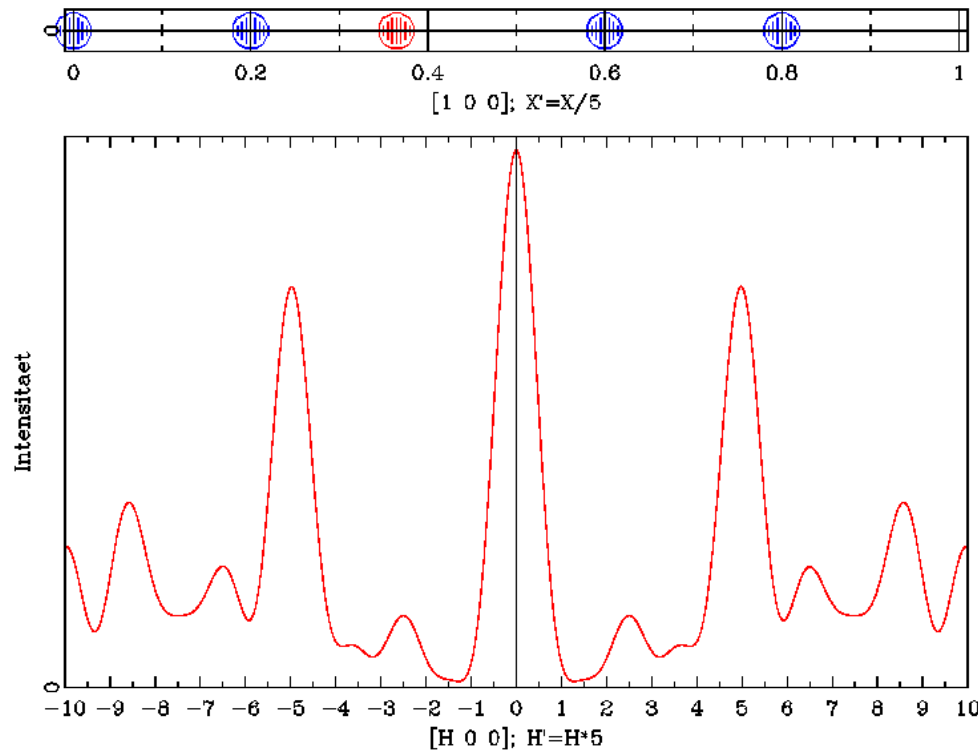
solution A: **periodic boundary conditions**

crystal of 5 unit cells with disorder



Zero points of finite size effects at  $h = j/5$

additional diffuse scattering



Transformation:

direct space

$$a_0 \Rightarrow a_0 * M$$

$$x \Rightarrow x / M$$

reciprocal space

$$a^* \Rightarrow a^* / M$$

$$h \Rightarrow h * M$$

# Diffraction by simulated crystal structures

how to avoid finite size effects

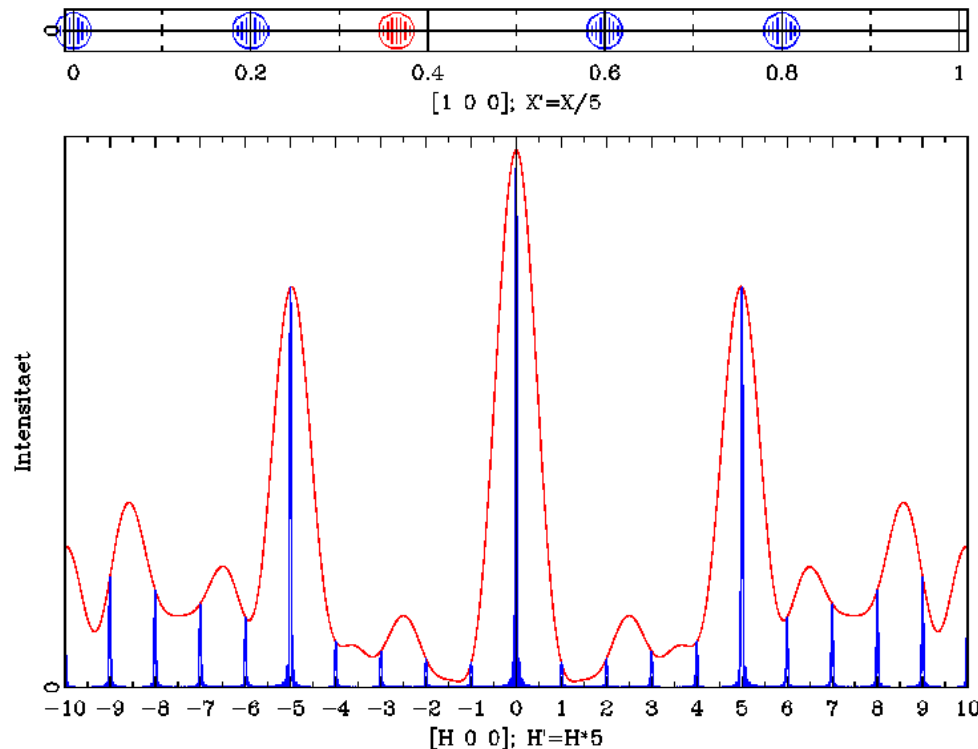
solution A: **periodic boundary conditions**

crystal of 5 unit cells with disorder



zero points of finite size effects at  $h = j/5$

additional diffuse scattering



Transformation:

direct space

$$a_0 \Rightarrow a_0 * M$$

$$x \Rightarrow x / M$$

reciprocal space

$$a^* \Rightarrow a^* / M$$

$$h \Rightarrow h * M$$

Bragg reflections of a  
periodic super structure  
with M-fold unit cell

# Diffraction by simulated crystal structures

how to avoid finite size effects

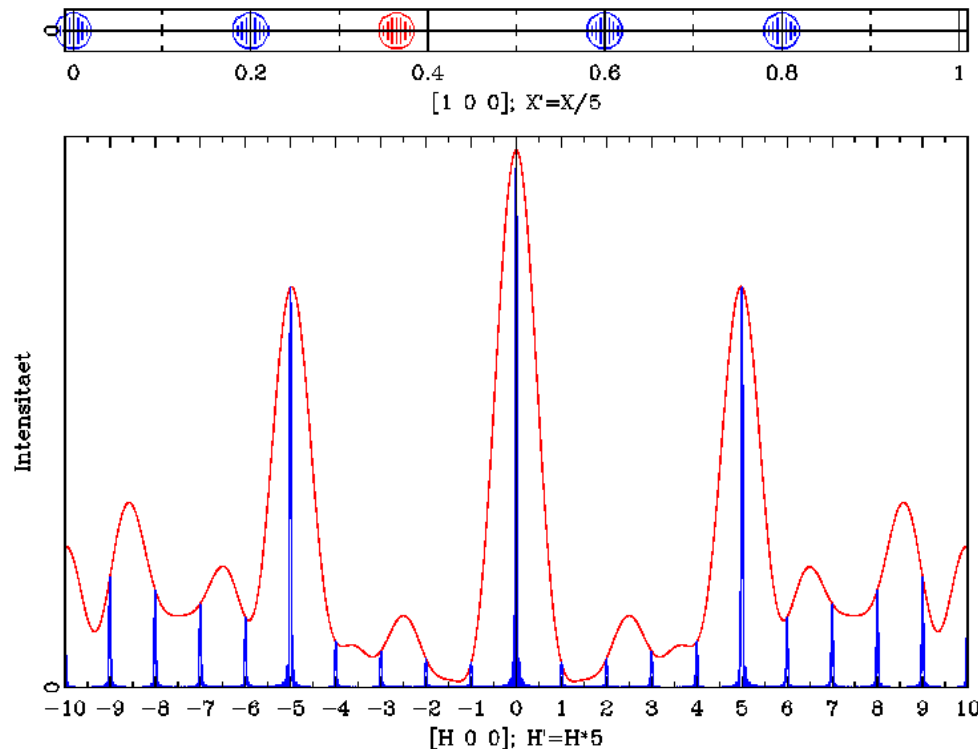
solution A: **periodic boundary conditions**

crystal of 5 unit cells with disorder



zero points of finite size effects at  $h = j/5$

additional diffuse scattering



calculation via  
periodic boundary conditions  
at positions:  $h = \mathbb{Z} + j/M$

corresponds to  
Bragg reflections of a  
periodic super structure  
with M-fold unit cell

this restriction  
allows to recognize the  
**effect of disorder**  
much better





## Exercise 4

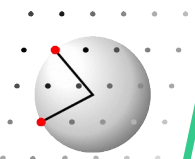
---

make sure you have started DISCUS\_SUITE

change to directory Lectures\02.Calculation\_Scattering

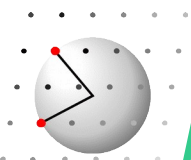
run macro 1d\_periodic.mac with different numbers as input parameter:

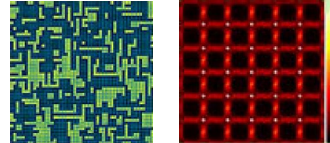
suite> **@1d\_periodic.mac 5**





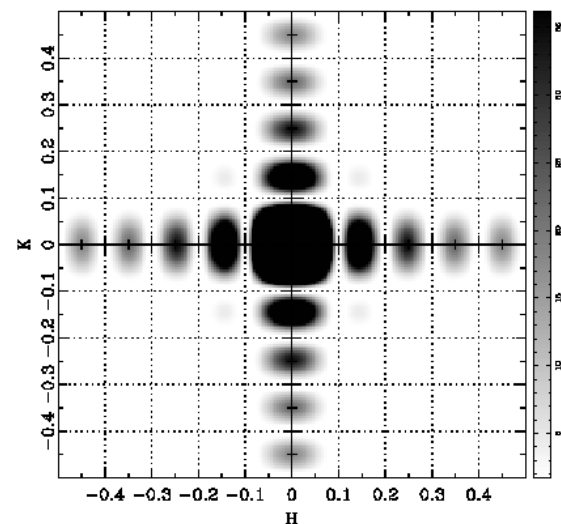
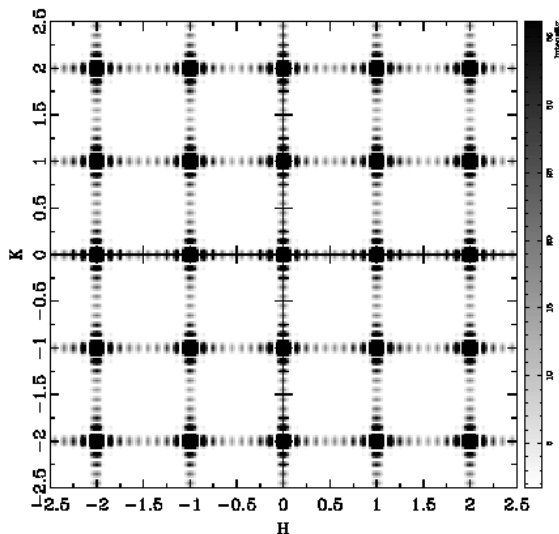
BLANK





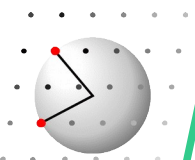
2D crystal of 10\*10 Si atoms

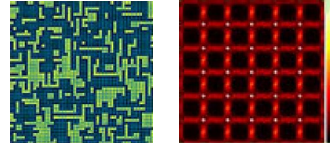
Calculated neutron diffraction pattern (intensity)



periodic reflections spaced at  $1/(\text{Si-Si})$     detail of a reflection

zero points at  $1/10$  reciprocal  
lattice constants

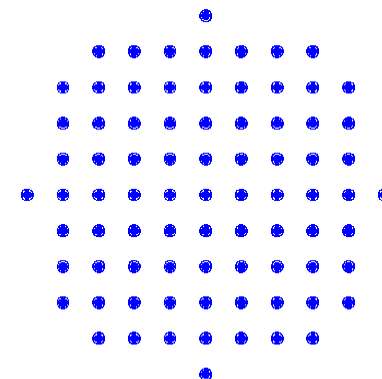




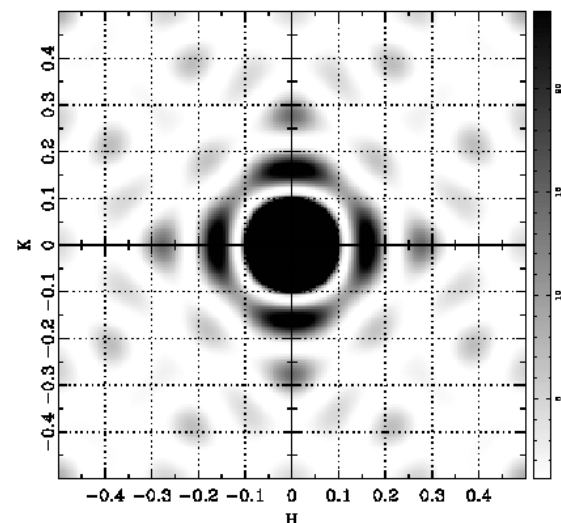
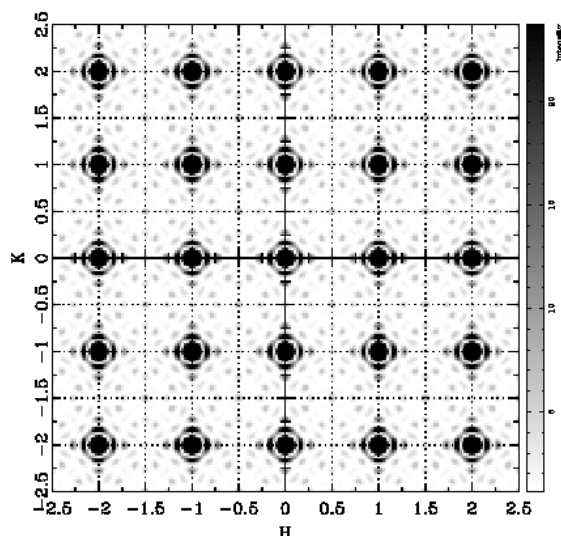
# Diffraction by simulated crystal structures



2D crystal ~ roughly circular in shape

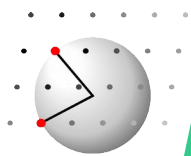


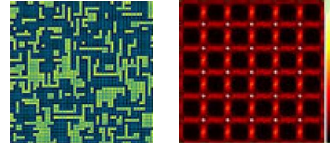
Calculated neutron diffraction pattern (intensity)



Periodic reflections spaced at  $1/(\text{Si-Si})$  detail of a reflection

circular subsidiary maxima  
zero points at  $1/\text{diameter}$





# Diffraction by simulated crystal structures



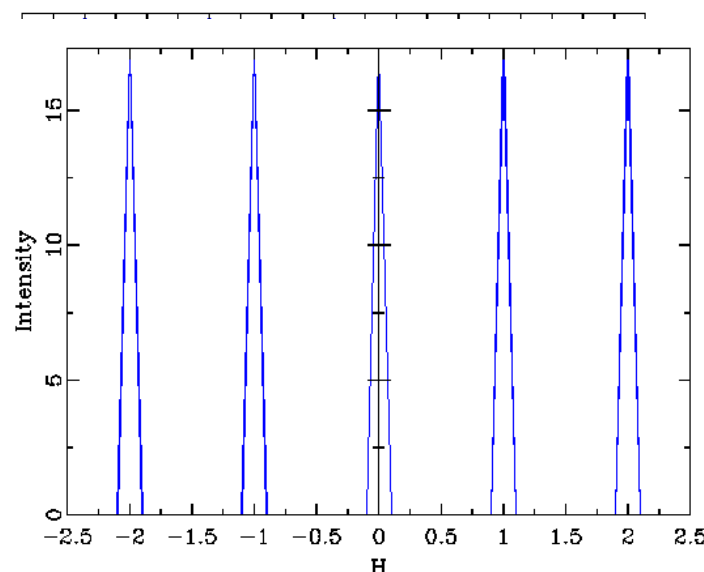
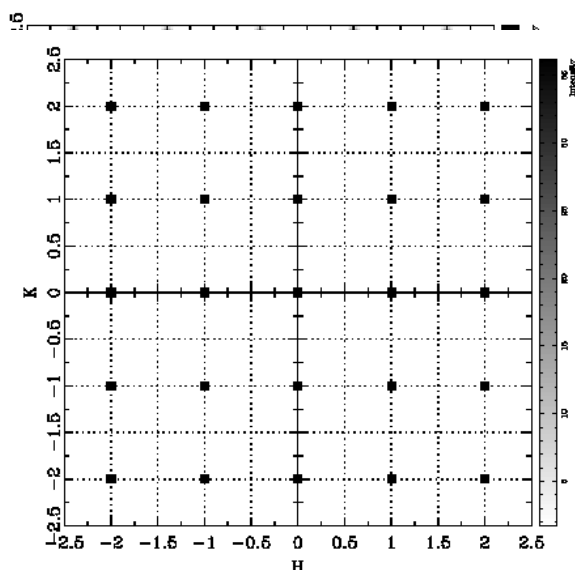
how to avoid finite size effects: solution A: **periodic boundary conditions**

crystal shaped as block of  $M \times N \times O$  unit cells



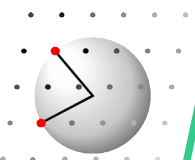
Zero points at  $h = j/M$   
 $k = j/N$   
 $l = j/O$

Calculation **only** at these positions



$$h \cdot r \Rightarrow (j/M) \cdot r = j \cdot (r/M) = h' \cdot r'$$

restriction of  $h$  to  $j/M$  corresponds to the restriction of an  $h'$  to integer values for a crystal with a unit cell  $M$  times in size!  $\Rightarrow$  periodic boundary conditions





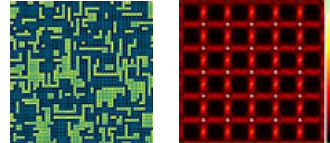
## Exercise 5

Write a macro that  
reads primitive.cell and creates a 2-D crystal of size  $N*N*1$

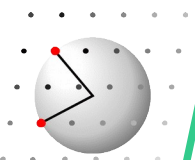
Calculates the 2-D fourier	use:	fourier_2d.mac
Writes the output in standard format	use:	output.mac
Writes the structure for a plot with kuplot	use:	plot.mac

**in kuplot check your results with  
macro k2d\_generic.mac:**

**kuplot > @k2d\_generic.mac <file\_base>**



# Diffraction by simulated crystal structures



**Lehrstuhl für Kristallographie und Strukturphysik**  
**Universität Erlangen-Nürnberg**





## Exercise 5

make sure you are in Lectures\02.Calculation\_Scattering

Write a macro that

reads primitive.cell and creates a 2-D crystal of size  $N*N*1$

Calculates the 2-D fourier

use: fourier\_2d.mac

Writes the output in standard format

use: output.mac

Writes the structure for a plot with kuplot

use: plot.mac

discus

read

cell primitive.cell, \$1, \$1, 1

#

@fourier\_2d

#

@output\_fourier **primitive**

#

@plot **primitive**

#

branch kuplot

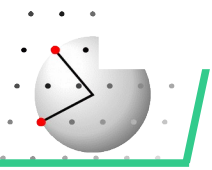
@k2d\_generic.mac **primitive**

exit

exit

**in kuplot check your results with  
macro k2d\_generic.mac:**

**kuplot > @k2d\_generic <file\_base>**







how to avoid finite size effects

solution A: **periodic boundary conditions**

example: crystal of  $50 \times 50 \times 1$  unit cells



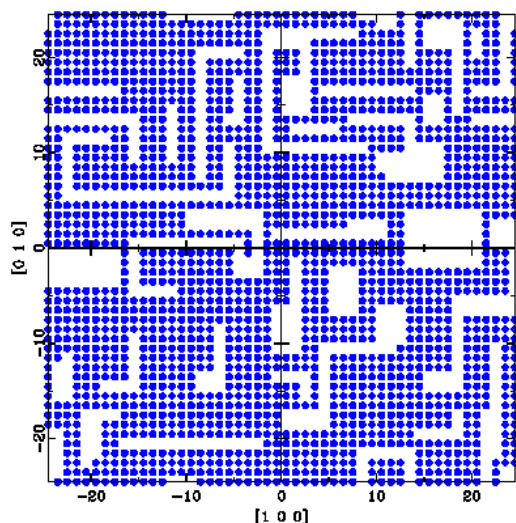
zero points at

$$h = j/50$$

$$k = j/50$$

$$l = j/1$$

Calculate reciprocal space **only**  
at these positions

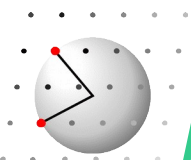


crystal of two different atom types

Preference for equal neighbours  
along  $[100]$  and  $[010]$



we expect diffuse scattering  
in lines normal to  $[100]^*$  and  $[010]^*$





# Diffraction by simulated crystal structures



How to avoid finite size effects

solution A: **periodic boundary conditions**

example: crystal as block of  $50 \times 50 \times 1$  unit cells



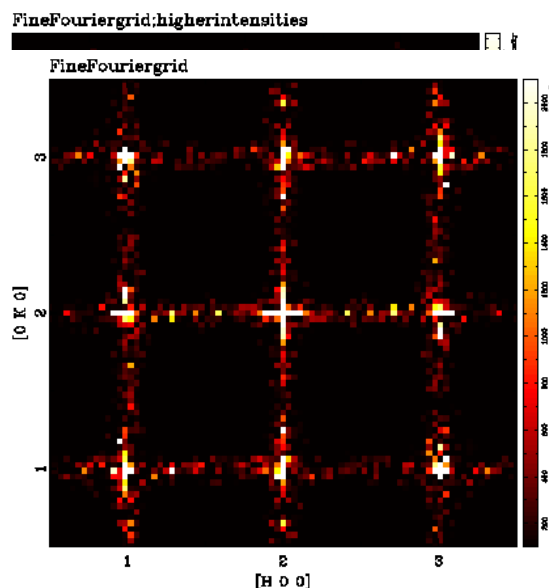
zero points at

$$h = j/50$$

$$k = j/50$$

$$l = j/1$$

Calculate scattering **only**  
at these points



Fourier with grid  $0.0375 \cdot a^*$

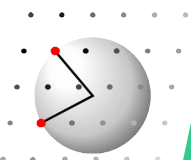
Finite size effect or diffuse scattering?

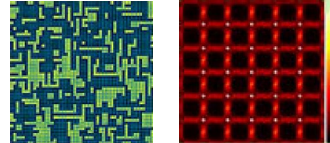


Expect diffuse scattering  
in rods normal to  $[100]^*$



sharp lines of finite size effects are superimposed  
on diffuse scattering!





# Diffraction by simulated crystal structures



How to avoid finite size effects

solution A: **periodic boundary conditions**

example: crystal as block of  $50 \times 50 \times 1$  unit cells



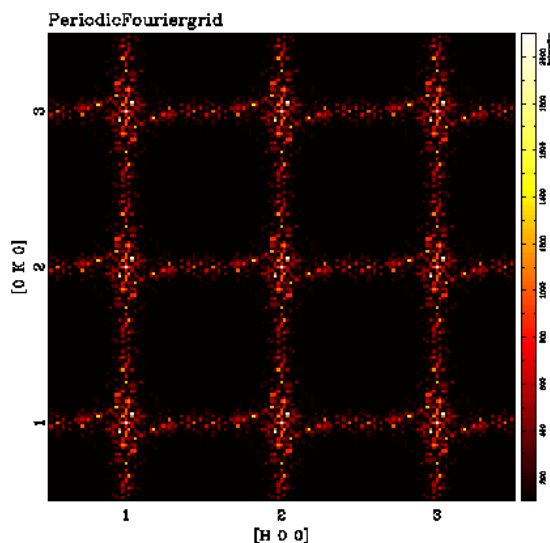
zero points at

$$h = j/50$$

$$k = j/50$$

$$l = j/1$$

Calculate scattering **only**  
at these points

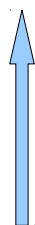


Fourier with grid  $0.02 \cdot a^*$

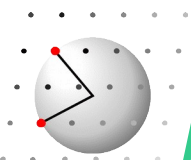
Finite size effect or diffuse scattering?

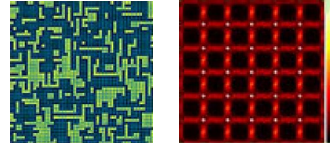


Expect diffuse scattering  
in rods normal to  $[100]^*$



Just the diffuse scattering remains





How to avoid finite size effects      solution B:      **Subtract the diffraction  
by the average structure**

Only applicable to disordered structures, otherwise       $I - \langle I \rangle = 0$

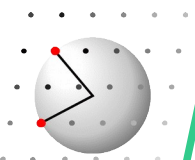
Works with crystals of any shape

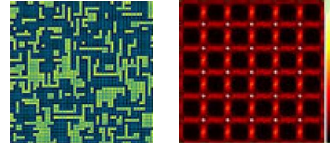
1. average the structure      project all atoms into a single unit cell
2. calculate diffraction at the same grid as required for diffuse scattering
3. subtract the two intensities

 only diffuse scattering remains

$I(\text{average structure}) = \text{zero}$   
except at:      Bragg reflections + subsidiary maxima

Works only if:      Intensity of Bragg reflections is not too different from  
that of perfect/average structure  
scattering by disordered structure is very diffuse





# Diffraction by simulated crystal structures

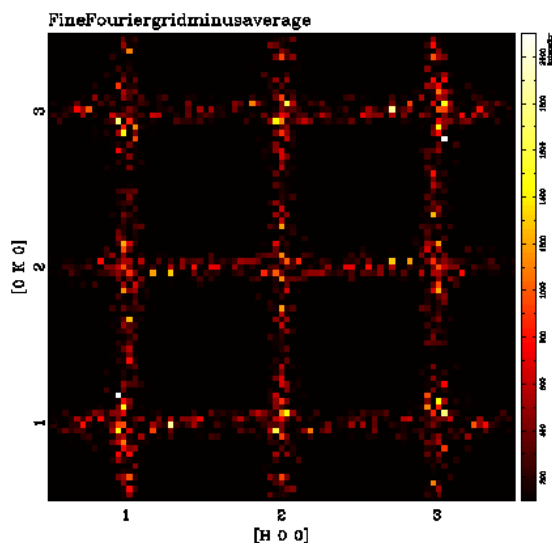


how to avoid finite size effects

solution B: **Subtract the diffraction  
by the average structure**

example: crystal as block of  $M \times N$  unit cells

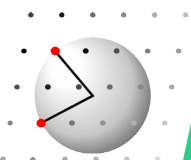
$I - \langle I \rangle$

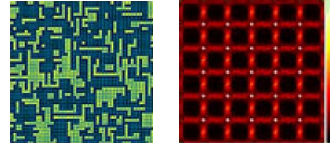


Fourier with grid  $0.0375 \cdot a^*$   
grid does not match crystal size



**only diffuse scattering remains!**



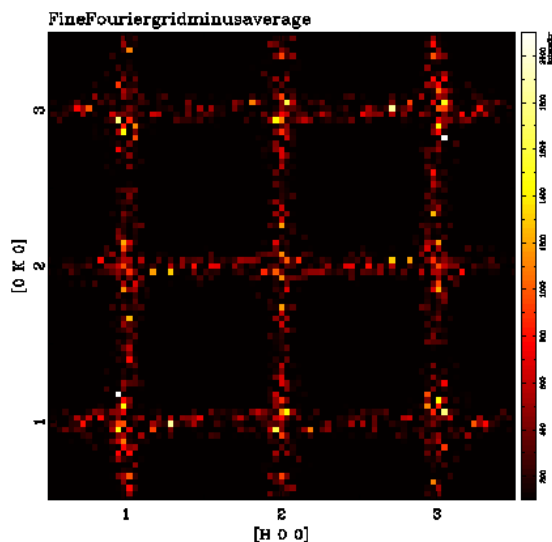


coherence

With larger crystals you might observe  
high frequency oscillations

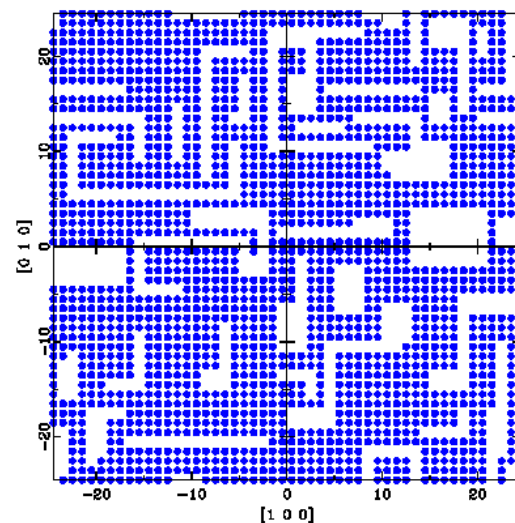
Also, often the crystal will be too small for a good average of the disorder

$| - \langle | \rangle$

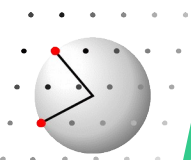


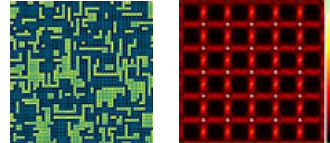
diffuse scattering is  
very noisy

Pattern calculated for 50\*50 unit cells  
*way too small*



There are many more configurations  
than realized in this small crystal



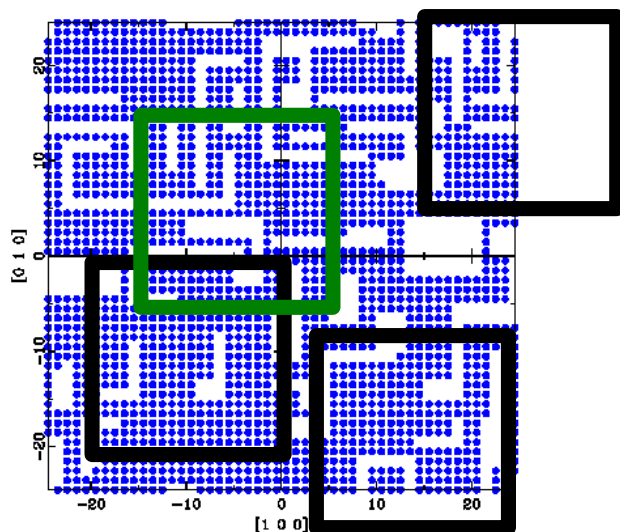


coherence

With larger crystals you might observe  
high frequency oscillations

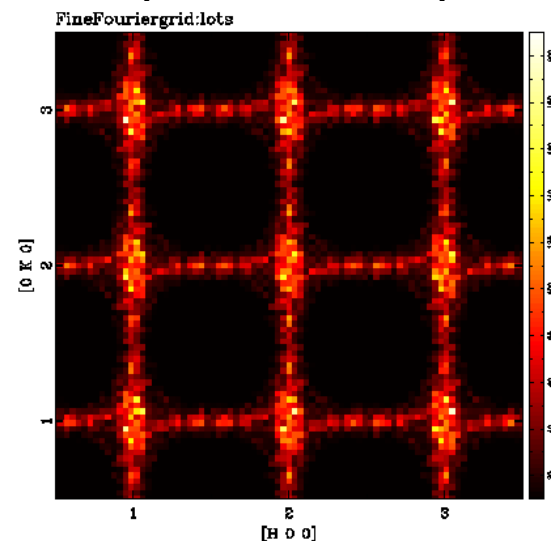
Also, often the crystal will be too small for a good average of the disorder

$I - \langle I \rangle$

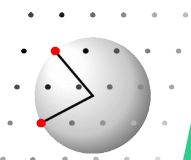


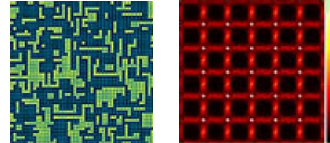
randomly located lots  
finite size corrected  
intensities averaged

$I - \langle I \rangle$  calculated for many small  
lots randomly located in crystal



diffuse scattering  
is less noisy





# Diffraction by simulated crystal structures

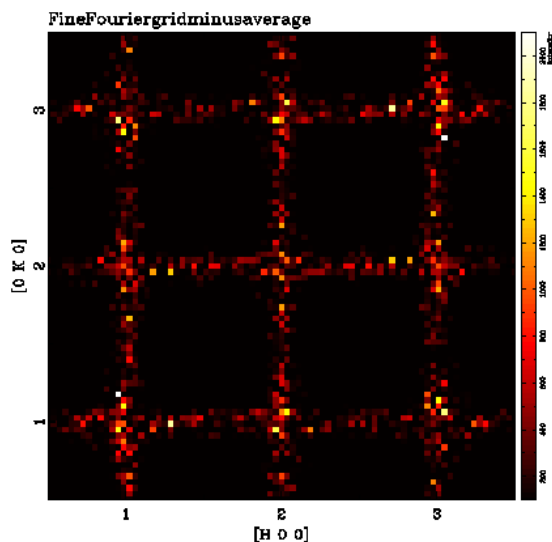


coherence

With larger crystals you might observe  
high frequency oscillations

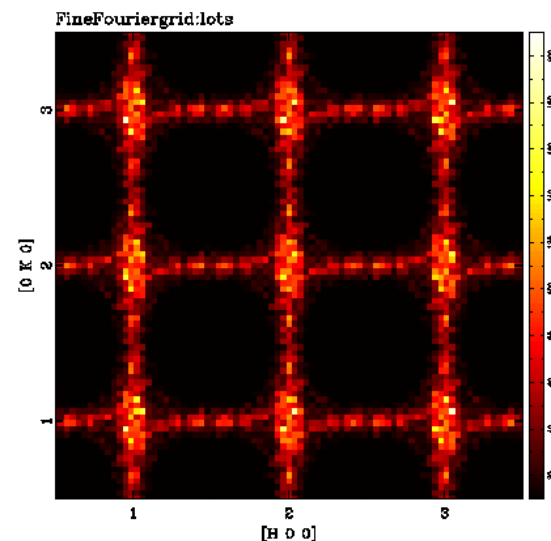
Crystal size too small to yield a good average

$I - \langle I \rangle$

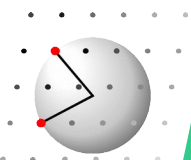


diffuse scattering is  
quite noisy

$I - \langle I \rangle$  calculated for many small  
lots, randomly placed within crystal



diffuse scattering is  
much less noisy







fourier

neutron

ll -2.5, 0.00, 0.00

lr 2.5, 0.00, 0.00

ul -2.5, 0.00, 0.00

na 1001

no 1

abs h

ord k

temp ignore

disp off

set aver, 50

lots box, 14, 14, 1, 50, yes

show

run

exit

#

output

outf 1d.inte

value intensity

run

exit

**Switch to fourier menu**

**calculate neutron diffraction pattern**

**Lower Left corner in reciprocal space**

**Lower Right corner**

**Upper Left corner**

**number of grid points along abscissa**

**number of grid points along ordinate**

**write H coordinate as abscissa**

**write K coordinate as abscissa**

**ignore thermal parameters**

**switch anomalous dispersion off**

**calculate the average intensity with 50% of the crystal**

**Use 50 box shaped lots sized 14 \* 14 \* 1**

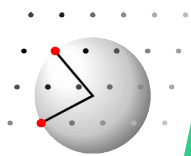
**run the calculation**

**switch to the output menu**

**write output to file „1d.inte“**

**write intensities**

**run the actual write process**





## Exercise 6

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change to directory Lectures\02.Calculation\_Scattering

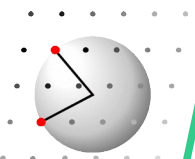
start discus\_suite

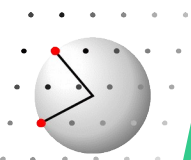
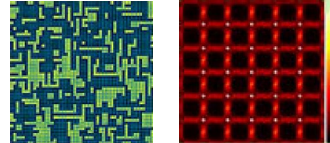
run macro **2d.mac** and then **2d\_lots.mac**

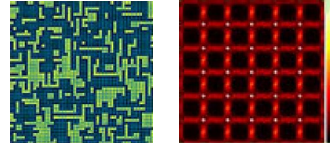
suite> **@2d.mac**

suite>

suite> **@2d\_lots.mac**







calculate:

powder pattern



Debye equation :

PDF

properties ...

calculates intensity from list of atom pairs! **ALL** atoms in the „*crystal*“

$$\langle |F(\mathbf{h})|^2 \rangle = \sum_j f_j^2 + \sum_i \sum_{j, j \neq i} f_i f_j \sin(2\pi \mathbf{h} \mathbf{r}_{ij}) / (2\pi \mathbf{h} \mathbf{r}_{ij})$$

 **each individual atom**       **atom pairs**

$f_i$  atomic form factor    scattered intensity by individual atom,  
depends on atom type, radiation

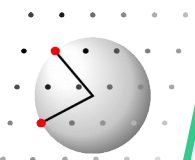
$\mathbf{r}_{ij}$  vector from atom  $i$  to atom  $j$

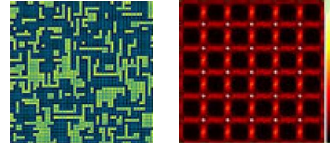
Sum over all atom pairs

→ no restrictions on sample structure

open to finite particle with any shape

defects, like stacking faults etc.





```
read
cell silicon.cell,$1,$1,$1
#
powder
  xray
  set axis,q
  set calc,debye
  set disp,off
  set delta,0.0
  set qmin,0.5500
  set qmax,7.1000
  set dq, 0.0005
  set temp,use
  set wvle,1.54056
  set four,four
  set lpcor,bragg,26.58
run
exit
output
  outf powder.inte
  value inte
  form powder,q
run
exit
```

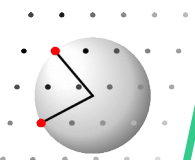
## Read a small crystal

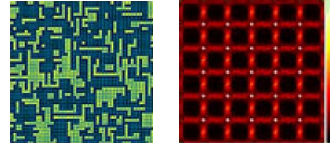
### switch to powder menu

- radiation xray
- calculate on equally space q scale
- use Debye equation
- switch anomalous dispersion off
- switch convolution with resolution function off
- minimum Q value
- maximum Q value
- Q increment
- use the thermal parameters
- define the wavelength  
(relevant for stacking faults only)
- define Lorentz and Polarisation calculation
- run the actual calculation

### switch to the output menu

- define output file name
- write intensity values to the output file
- pattern will be written as powder pattern,  
with x-axis in steps of Q



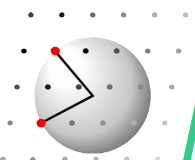


```
read
cell silicon.cell,$1,$1,$1
#
powder
  xray
  set axis,q
  set calc,debye
  set disp,off
  set delta,0.0
  set qmin,0.5500
  set qmax,7.1000
  set dq, 0.0005
  set profile, pseudo
  set profile, uvw, 0,0,0.001
  set profile, eta, 0.5
  set profile, asym, 0,0,0,0
  set temp,use
  set wvle,1.54056
  set four,four
  set lpcor,bragg,26.58
  run
exit
```

## Read a small crystal

### switch to powder menu

- radiation xray**
- calculate on equally space q scale**
- use Debye equation**
- switch anomalous dispersion off**
- switch convolution with resolution function off**
- minimum Q value**
- maximum Q value**
- Q increment**
- convolute with Pseudo-Voigt**
- set u,v,w, parameters for Cagliotti**
- set mixing parameter Eta=0 Lor; 1=Gauss**
- set asymmetry parameters**
- use the thermal parameters**
- define the wavelength**
- (relevant for stacking faults only)**
- define Lorentz and Polarisation calculation**
- run the actual calculation**





## Exercise 7

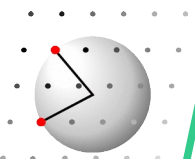
---

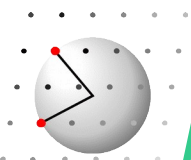
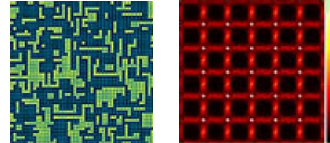
make sure you have started DISCUS and KUPLOT

change to directory Lectures\02.Calculation\_Scattering

run macro **powder.mac** with different numbers as input parameter:

suite> **@powder.mac 6**







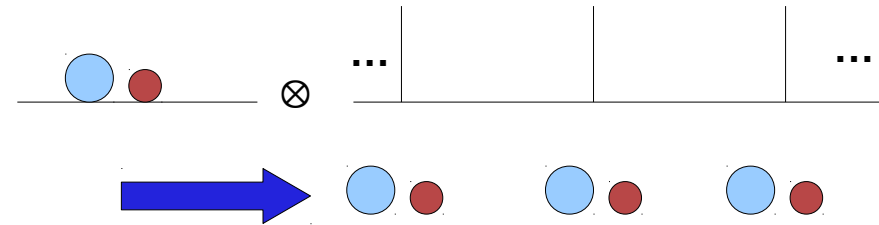
## ideal crystal structure

all atoms as correct atom type on ideal site

structure factor 
$$F(\vec{h}) = \sum_{j=1}^{N_{crystal}} f_j(|\vec{h}|) e^{2\pi i \vec{h} \cdot \vec{r}_j}$$

if strictly periodic and infinite:

convolution of a unit cell and  
an infinite lattice:



in one dimension

Fourier transform:

$$F(\text{crystal}) = F \left[ \left( \sum_{j=1}^{N_{cell}} (\text{Atom at } r) \right) \circ \sum_{k=-\infty}^{\infty} \delta(x - ka) \right]$$

convolution theorem:

$$\mathcal{F}(g \otimes h) = \mathcal{F}(g) \cdot \mathcal{F}(h) = G \cdot H$$

Fourier transform of a convolution is:

product of individual Fourier transforms

Multiplication theorem:

$$\mathcal{F}(g \cdot h) = \mathcal{F}(g) \otimes \mathcal{F}(h) = G \otimes H$$

Fourier transform of a product is

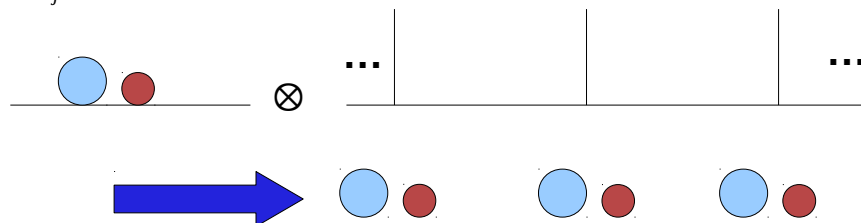
convolution of individual Fourier transforms



## ideal crystal structure

all atoms as correct atom type on ideal site

structure factor 
$$F(\vec{h}) = \sum_{j=1}^{N_{\text{Kristall}}} f_j(|\vec{h}|) e^{2\pi i \vec{h} \vec{r}_j}$$



if strictly periodic and infinite:

convolution of a unit cell with  
an infinite lattice:

in one dimension

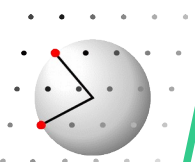
Fourier transform:

$$\begin{aligned} F(\text{crystal}) &= F \left[ \left( \sum_{j=1}^{N_{\text{cell}}} (\text{atom at } r) \right) \circ \sum_{k=-\infty}^{\infty} \delta(x - ka) \right] \\ &= F \left[ \left( \sum_{j=1}^{N_{\text{cell}}} (\text{atom at } r) \right) \right] \cdot F \left[ \sum_{k=-\infty}^{\infty} \delta(x - ka) \right] \\ &= \left[ \sum_{j=1}^{N_{\text{cell}}} f_j(|\vec{h}|) e^{2\pi i \vec{h} \vec{r}_j} \right] \cdot \left[ \frac{1}{a} \sum_{h=-\infty}^{\infty} \delta\left(u - \frac{h}{a}\right) \right] \end{aligned}$$

structure factor • **reciprocal lattice**



sum of infinitely sharp Bragg reflections, weighted by structure factor





## Ideal, yet finite crystal structure

= infinitely sized crystal multiplied by a box shaped function

electron density:  $\rho(x) \cdot \text{box}$

in one dimension

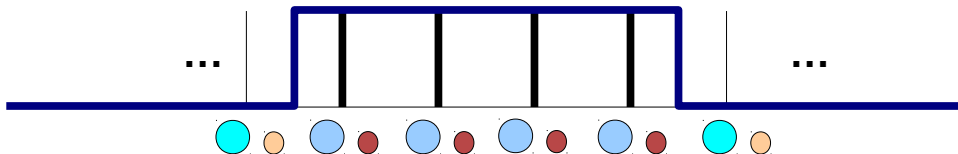
Fourier transform:  $F(\rho(x) \cdot \text{box}) = F(\rho(x)) \circ F(\text{box})$

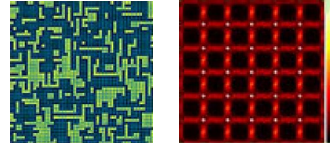
Fourier transform of box with full width A:  $F(\text{box}) = \frac{\sin(\pi A u)}{\pi u}$

$$= \left[ \sum_{j=1}^N f_j(|\vec{h}|) e^{2\pi i \vec{h} \vec{r}_j} \right] \cdot \left[ \frac{1}{a} \sum_{h=-\infty}^{\infty} \delta\left(u - \frac{h}{a}\right) \right] \circ \frac{\sin(\pi A u)}{\pi u}$$



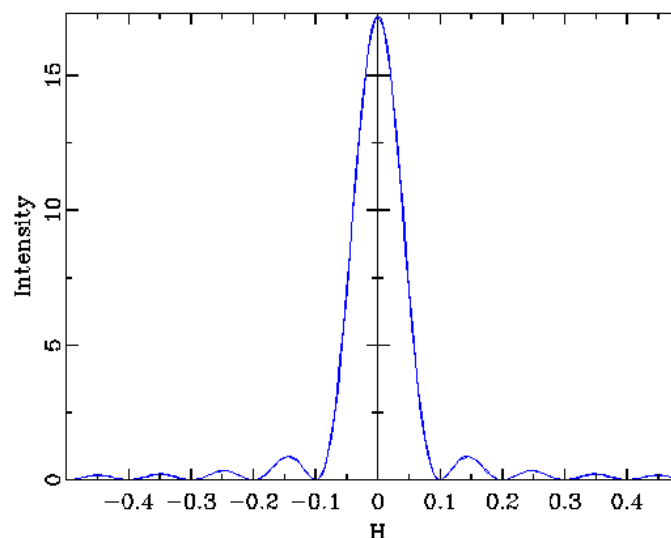
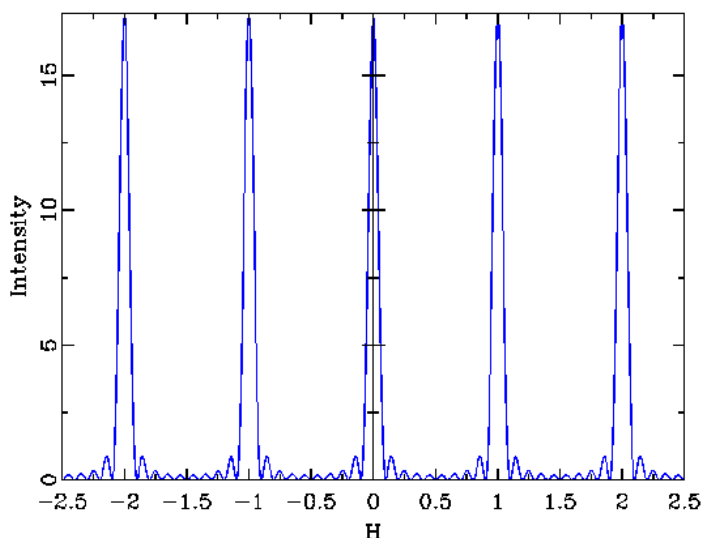
Sum of widened Bragg reflections with subsidiary maxima  
Zero points at  $u = j/A$





chain of 10 Si atoms

Calculated neutron diffraction pattern (intensity)



periodic reflections spaced at  $1/(\text{Si-Si})$     detail of a reflection

zero points at  $1/10$  reciprocal  
lattice constants

